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Application No: 09/504,782  
Docket No. 740819-337

2838

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Masahiro KUME et al.  
Serial No. 09/504,782  
Filed: 02/15/2000  
For: SEMICONDUCTOR LASER DEVICE, OPTICAL  
DISK APPARATUS AND OPTICAL INTEGRATED UNIT )

Examiner: D. Flores Ruiz  
Group Art Unit: 2838

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on

OCT 11, 2002  
RECEIVED  
OCT 17 2002  
TECHNOLOGY CENTER 2800

**INFORMATION DISCLOSURE STATEMENT AND**  
**NOTICE OF REASONS OF REJECTION**

Commissioner for Patents  
Washington, DC 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

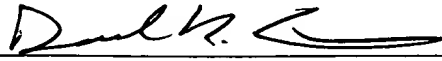
The enclosed references were cited in a Notice of Reasons of Rejection issued on July 17, 2002 for the corresponding Japanese patent application. JP 07-0321406 was previously cited on September 30, 2002 in this case. JP 06-152046 was also previously cited in this application on July 30, 2002 with an English abstract and is being submitted herein with a partial English translation.

The undersigned certifies that either (1) each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office, in connection with a counterpart foreign application not more than three (3) months prior to the filing of this statement, or (2) no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

It is requested that the accompanying information disclosure statement be considered and made of record in the above-captioned application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380.

Respectfully submitted,

By: 

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